Optimizing NIH Efforts to Engage Pre-college Students in Biomedical Science

James M. Anderson, MD, PhD Director DPCPSI

> SMRB July 7, 2014

The NIH Mission

"NIH's mission is to seek fundamental knowledge about the nature and behavior of living systems and the application of that knowledge to enhance health, lengthen life, and reduce illness and disability."

- to develop, maintain, and <u>renew scientific human</u> and physical <u>resources</u> that will ensure the Nation's capability to prevent disease
 - In STEM education NIH is primarily focused on workforce development

Leveraging the NIH investment in people and infrastructure for STEM education

- NIH supports more than 300,000 research personnel at over 2,500 universities and research institutions in every state, Puerto Rico and DC
- In addition, about 6,000 scientists work in NIH's own Intramural Research laboratories (six campuses in MD, NC, AZ, MT)
- No other agency has these unique resources to leverage for STEM
- How do we use them?

Department of Health and Human Services

Part 1. Overview Information

Participating Organization(s)	National Institutes of Health (<u>NIH</u>)										
Components of Participating Organizations	National Institute on Alcohol Abuse and Alcoholism (NIAAA) National Institute of Biomedical Imaging and Bioengineering (NIBIB) Eunice Kennedy Shriver National Institute of Child Health and Human Development (NICHD) National Institute of Dental and Craniofacial Research (NIDCR) National Institute on Drug Abuse (NIDA) National Institute of Environmental Health Sciences (NIEHS) National Institute of Mental Health (NIMH) National Institute of Neurological Disorders and Stroke (NINDS)										
unding Opportunity Title NIH Summer Research Experience Programs (R25)											
Activity Code	R25 Education Projects										
Announcement Type	Reissue of PAR-11-1 R25										
Related Notices	 June 4, 2014 are essential May 30, 2013 Dates on or a September 2 S/F up to \$5,000 per high school student, up to \$6,000 per college student, and up to \$21,000 per teacher 										
Funding Opportunity Announcement (FOA) Number	PAR-13-104 per teacher • \$1000 training expenses										
Companion Funding Opportunity	• 8 Institutes										
Number of Applications	 See <u>Section III. 3. Ad</u> 38 active awards in FY14 										
Catalog of Federal Domestic Assistance (CFDA) Number(s)	• 38 active awards in FT14 • 25 states										
Funding Opportunity Purpose	The purpose of the N Program [®]) is to provi science teachers during the summer academic break. The NIH expects that such programs will: help attract										



🍯 Di	scovery to	Cure Internship 🗙 🔪											<u> </u>	Х
	> C	🗋 medicine.yale	.edu/obgyr	n/discovery/	education/highs	chool.asp	ЭХ						\$	
	Yale	SCHOOL OF MED	ICINE		Edu	cation Pa	atient Care	Research	People	News	Library	A-Z Index	Search	
	OBST	ETRICS, GYNECOL	OGY & REPI	ODUCTIVE \$	CIENCES				Patient (Care	Research	Education	Faculty	
	Dis	scovery to	o Cure	:										
	Home	Patient Services	Research	Education	Getting Involved	News &	Events C	Contact Us	Donate	[Search this	site	Q	

Discovery to Cure Internship Program

 International Clinical and Research Fellowship

- Discovery to Cure Internship Program
- Survivors Sessions
- Lecture Series
- Contact Us
- Q Find a Physician
- 🖽 Calendar
- 🖾 Contact Us
- Maps & Directions
- A Yale Phonebook
- YSM Home

Obstetrics, Gynecology & Reproductive Sciences PO Box 208063 New Haven, CT 06520-8063 obgyn@yale.edu



The Discovery to Cure Internship Program was established in 2003 by Dr. Gil Mor, Professor of Obstetrics, Gynecology & Reproductive Sciences, with the goal of exposing students from local schools to Yale's biomedical laboratories to open their minds to pursure career opportunities in science and medicine. The initial program enrolled four students from two local high schools with the participation of two laboratories at the Department of Obstetrics Gynecology and Reproductive Sciences. Since then, the program has grown to include over 35 schools from throughout the country as well as internationally. The program now includes undergraduates and teachers. The program is highly competitive (less than 12% acceptance) and since its inception a total of over 260 high school students, undergraduates and high school teachers have successfully completed the Program. Several interns have presented their research work at science fairs, including the Connecticut Junior Science and Humanities Symposium at UCONN, the National JSHS, Pfizer Life Science Award, Connecticut State Science Fair, International Science and Engineering Fair and the Siemens Westinghouse Science and Technology Competition, achieving semifinalist, finalist and first place status. Approximately 20% of the students have published their findings in peer-reviewed scientific journals.

The Discovery to Cure Internship Program is now a NIH supported program (NOH 1R25HD072591-01)

For more information please click on the link be

DTC High School Internship Program

schools that have never had an intern in the pro Administrator JoAnn Bilyard at: joann.bilyard@y

DTC Undergraduate Internship Program

All undergraduates interested in applying to the Coordinator Paulomi Aldo at: paula.bole@yale.e

DTC High School Teacher Internship Progr

R25HD072591 HICHD

- 260 HS students, HS teachers, undergrads over 9 years
- 25 slots/year, 12% acceptance rate
 - 20% have published in peer-reviewed journals

н 🗆 🖶 🖂 🛃 👻 👻

≳ About SPUR/SPUR-DAN | The 🗙

→ C ☐ ctbr.hunter.cuny.edu/content/about-spur

- 0 ×

HUNTER

Research

Community



About SPUR/SPUR-DAN

Program Dates: June - August, 2014 Application Deadline: February 1 (Annually), 5pm EST



The CTBR's Summer Program for Undergraduate Research (SPUR) is an 8-week program that gives undergraduates hands-on experience in one of 53

research laboratories at Hunter College, CLINK Our goal is to train and encourage under students to pursue graduate study in bior research, and in drug abuse/addiction ar neuroscience.

The SPUR program is now supported by Institute on Drug Abuse (NIDA) through a NIDA's mission is to lead the nation's sc

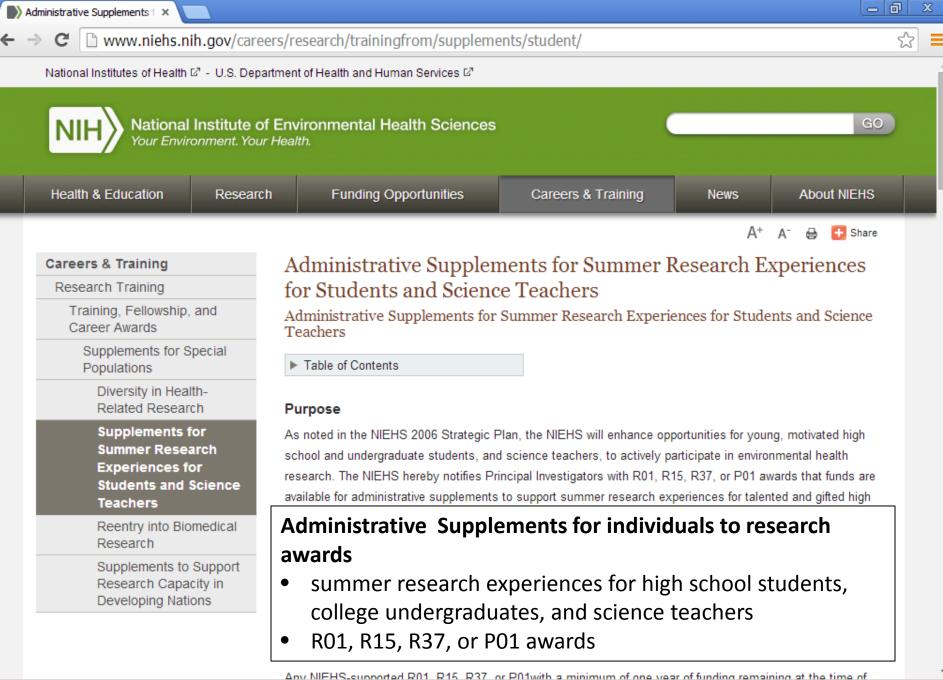
research on prevention, treatment, and consequences of drug addiction. This funding enables us to offe specialized research track in drug abuse/addiction and neuroscience, in addition to our general biomedi more information about research opportunities on these two tracks, click here.

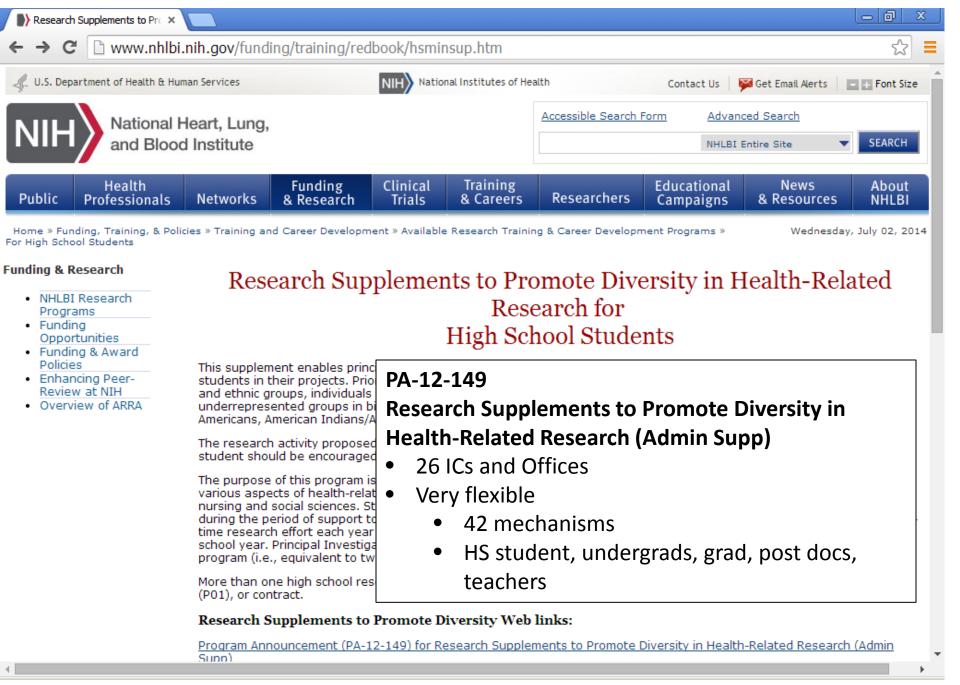
2012 Fellows

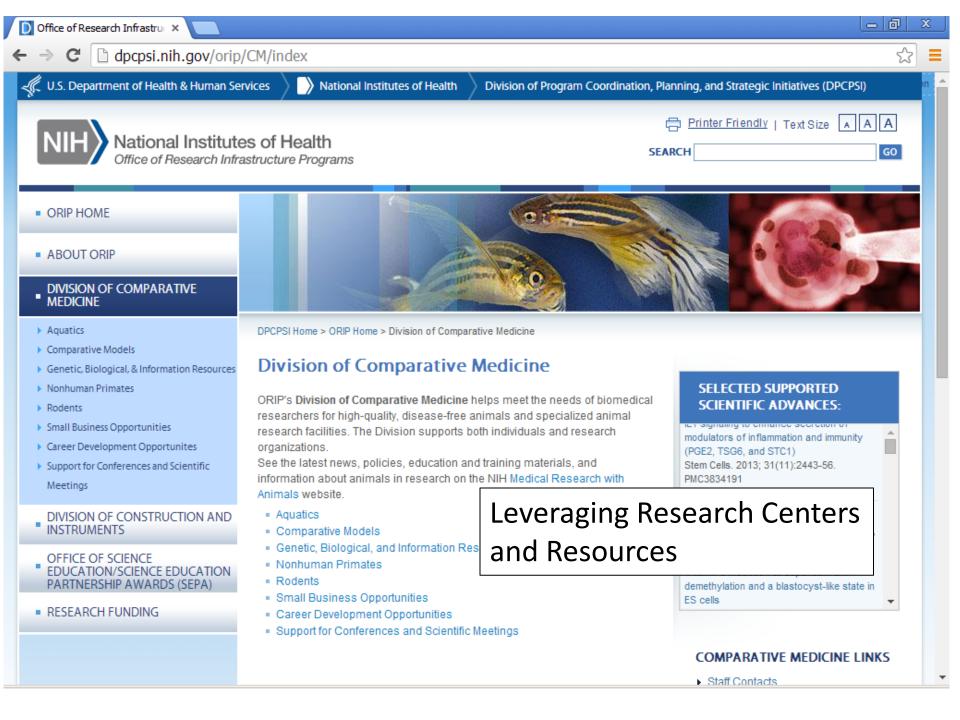
Faculty Researchers Core Facilites Support and Services Federal Funding **Undergraduate Training** SPUR Research Tracks How to Apply to SPUR

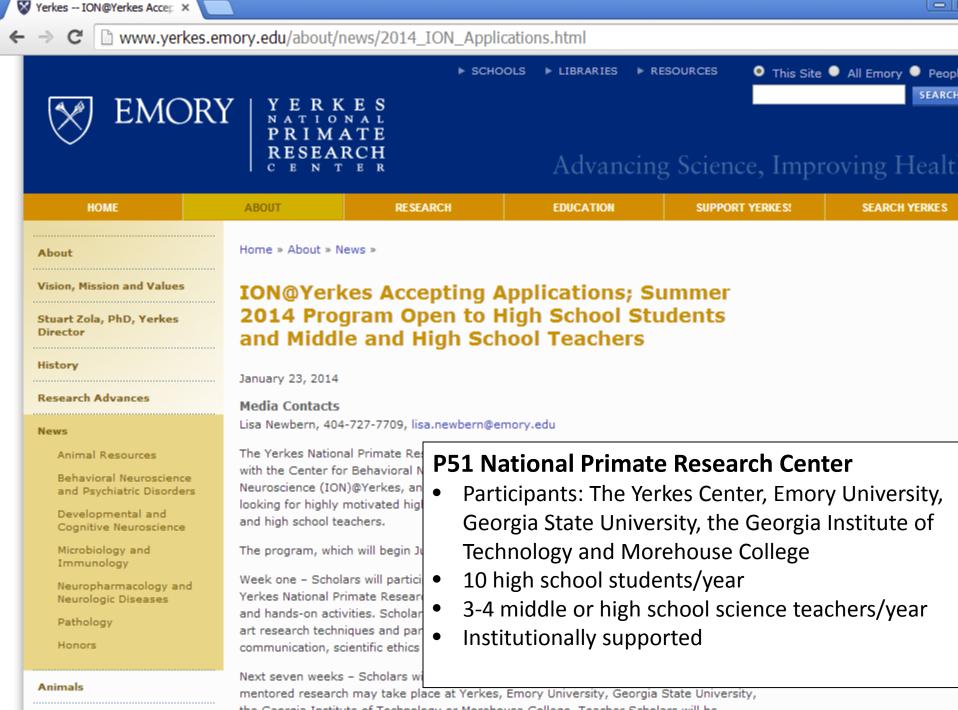
R25 DA032520 NIDA 160 undergraduate since 1994 outcomes

- 8 in Ph.D. programs
 - 5 in M.D. programs
- 2 received MS
- 1 MPH









the Georgia Institute of Technology or Morehouse College, Teacher Scholars will be



P40 Resource Center for Tetrahymena thermophilaR25 Science Education Partnership Award (SEPA)

Cornell University College of Veterinary Medicine



- Self-contained <u>biology teaching modules</u> for use in high school and middle school
- Modules utilize live cultures of *Tetrahymena thermophila*, a safe, easy to grow protozoan
- Hands-on, inquiry-based approach designed to address core biological concepts
- Multi-tiered for use in middle or high school classes
- Summer teacher workshop



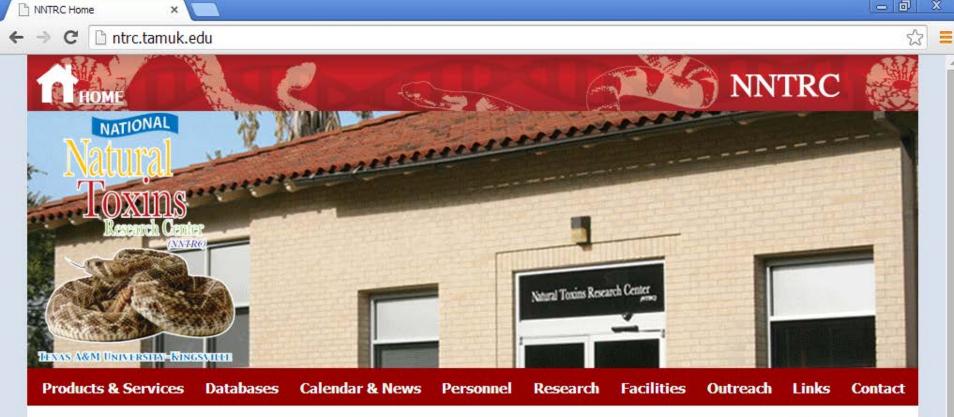
Yesterday we did our first Tetrahymena experiment by feeding them India Ink particles to observe food vacuole formation over a period of time. Today we analyzed the data and brainstormed other ways to test food vacuole formation.



Groton NY Science Fair 2011

Three students from Mr. DeVoe's 7th Grade Life Sciences class designed an experiment utilizing Tetrahymena thermophila to studied the effects of temperature on the feeding behavior of tetrahymena

ASSET: Advancing Secondary Science Education with Tetrahymena



<u>NNTRC</u> » NNTRC Home

The University has had an active venom research program for almost 40 years, and on March 24, 2000, the Texas A&M University Board of Regents established the National Toxins Research Center.

Mission

The National Natural Toxins Research Cente discovery of medically important toxins found

Snake Venoms

P40 Viper Resource Center - The National Natural Toxins Research Center

- ORIP/DPCPSI
- Texas A&M University-Kingsville
- 2014 Nine High School students
- DoEd Upward Bound Math & Science





Student Training

- Purification and characterization of venoms:
 - High Performance Liquid Chromatography (HPLC)
 - SDS Electrophoresis
 - Electrophoretic Titration
- Various activity assays:
 - Hemorrhagic
 - Proteolytic
 - Coagulation
 - Fibrinolytic
 - Aggregation

- Viper Resource Center The National Natural Toxins Research Center
- Cloning from cDNA libraries for disintegrin molecules
- Tissue culture assays
 - Cell binding
 - Cell migration
- Creation of Research

Student comments from the 2009 Summer Research Program at NNTRC





NIH Intramural Summer Internship Program

- Eight+ week research experience at all levels
 - High School
 - College
 - Medical/Dental
 - Graduate (MS. PhD, PharmD, PsyD, etc)
- Many workshops and other educational opportunities
- Access to pre-graduate advising
- End-of-summer poster session
 - ~ 1200 students each summer (25% HS students)
- ~1250 intramural labs with ~ 7,500 investigators and trainees

http://www.training.nih.gov/student/sip/

Observations

- Leveraging the investment in people and research infrastructure is the unique contribution NIH can make in STEM
- There are many approaches
 - Group training programs
 - Individual supplements to existing research awards
 - Appropriate use of NIH-supported resources with co-funding
- It is widely done (but challenging to quantify)

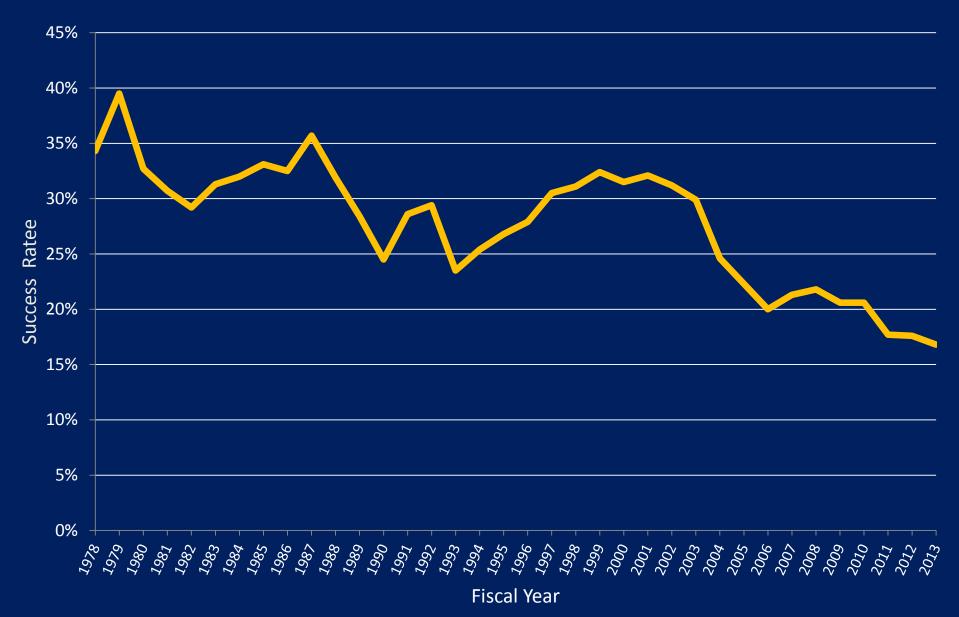
U.S. Department of Health & Human Services



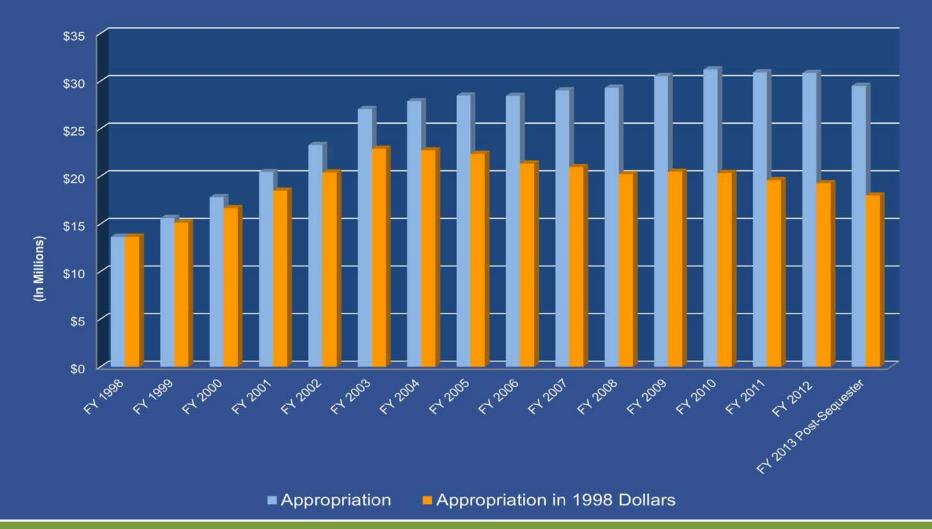
CSR Presentation to SMRB Speeding submission to award

Richard Nakamura July 2014

Grant Success Rates FY 1978-2013

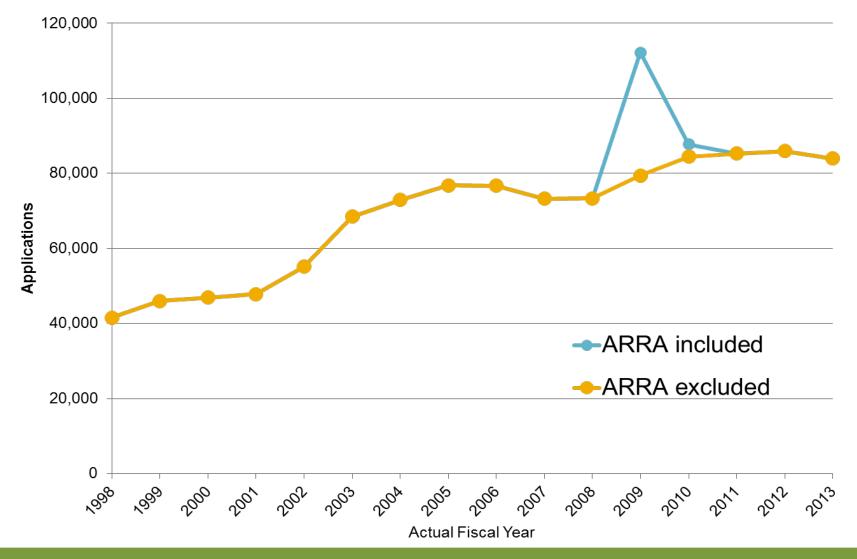


NIH Program Level in Appropriated Dollars and Constant 1998 Dollars





Number of Applications Received by Fiscal Year





=

Expectations for CSR

- Highest quality
- Cost effective (cheap)

Fast



Goals of CSR

- Improve continuously:
 - -Fairness of review
 - -Quality of review
 - -Efficiency of review
 - -Morale of staff and reviewers
- Create a science of peer review



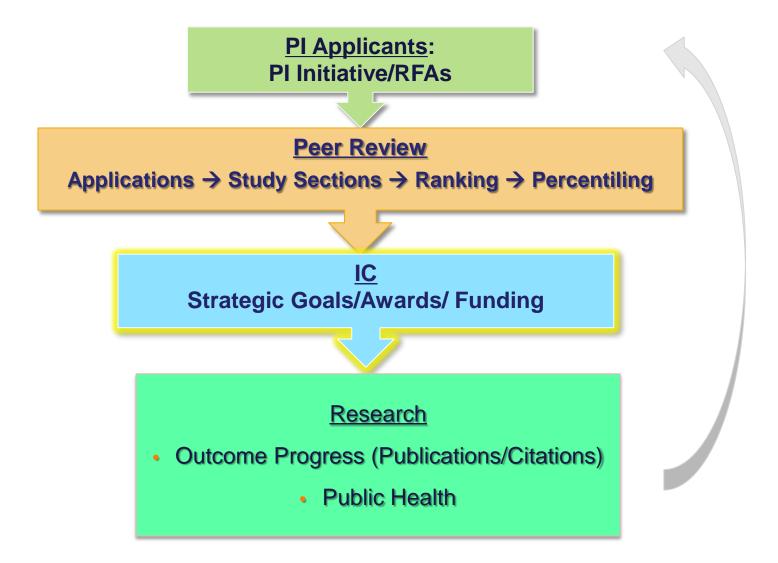
CSR Peer Review – Fiscal Year 2013

- 84,000 applications received by CSR
- 73% of NIH grant applications reviewed by CSR
- 173 standing study sections
- 236 Scientific Review Officers
- 1,500 review meetings
- 17,000 reviewers

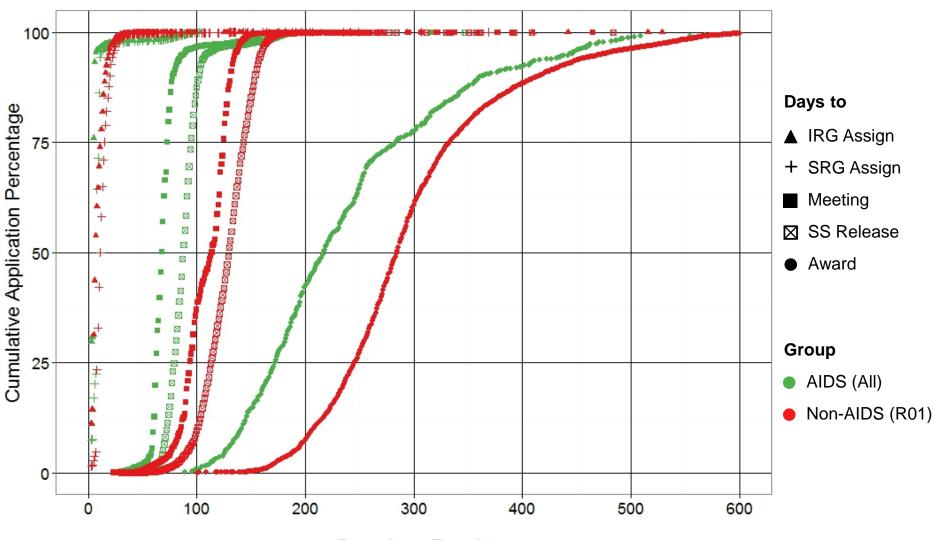




The NIH Peer Review and Award Process







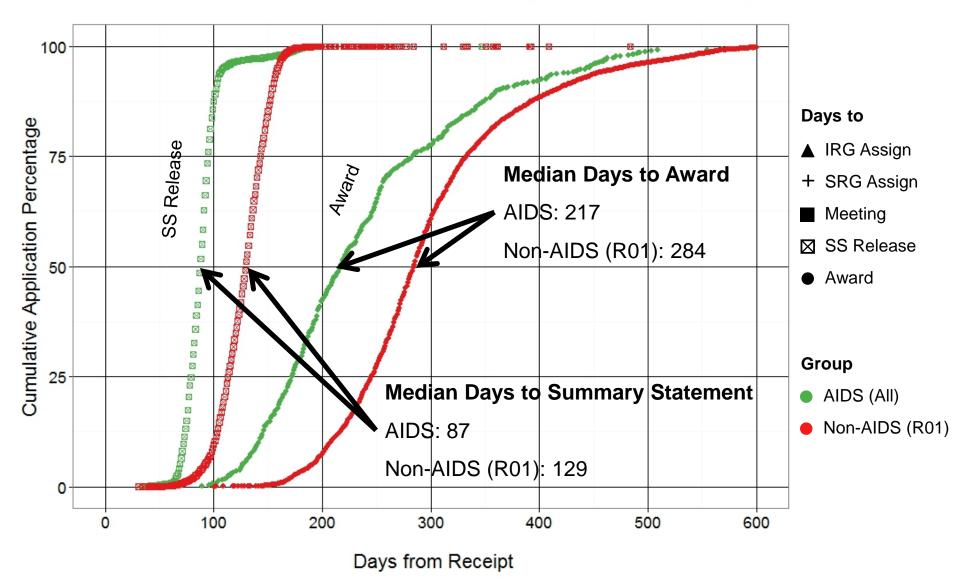
CSR: Kinetics of Peer Review and Award (2010-2012 Chartered)

Days from Receipt



F

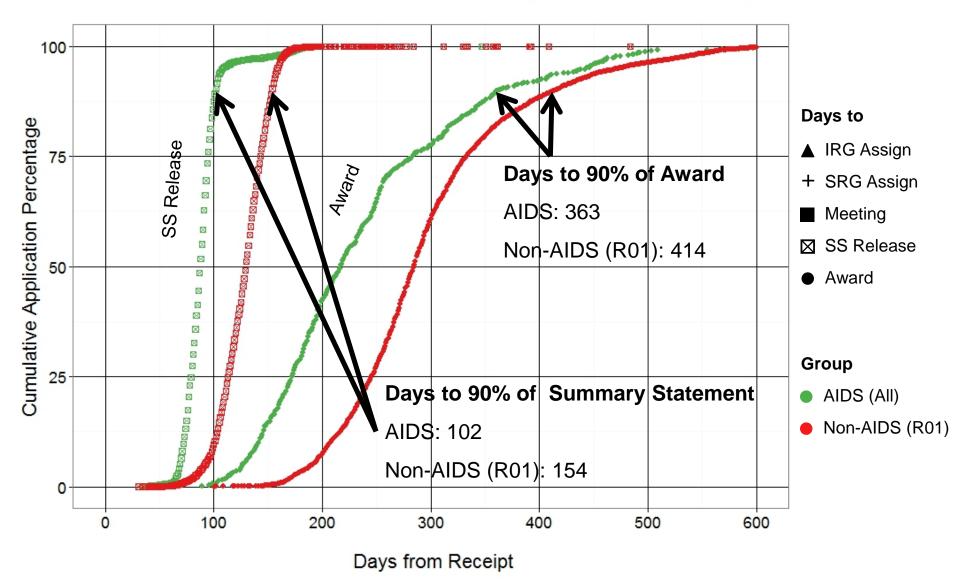
CSR: Kinetics of Peer Review and Award (2010-2012 Chartered)





Ţ

CSR: Kinetics of Peer Review and Award (2010-2012 Chartered)





Ţ

R01 Official Review Schedule

Due Dates	Merit Review	Council	Award	To SS	To Awd
Feb 5, Mar 5	Jun-Jul	October	December	7 mo	11 mo
Jun 5, Jul 5	Oct-Nov	January	April	6 mo	11 mo
Oct 5, Nov 5	Feb-Mar	May	July	6 mo	10 mo

Review regularly beats this schedule: 5 months to 90% of summary statements

Awards often delayed: 13.5 months to 90% of awards



AIDS Review Schedule

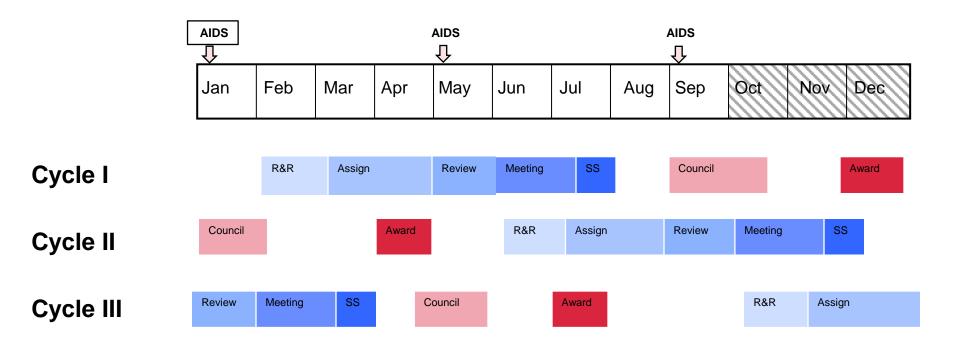
Due Dates	Merit Review	SS Due	Council	Subm to SS
May 7	July	August	September	4 mo
September 7	November	December	January	4 mo
January 7	March	April	May	4 mo

This is the fastest schedule for CSR review and results in some quality compromises.



Current Timeframe for R01s -- Submission to Award

Three Main Overlapping Cycles per Year



http://grants1.nih.gov/grants/funding/submissionschedule.htm



Peer Review: Good timing for face to face review

REVIEW: Submission to Summary Statement

Submission: Should be 1 DAY for any mechanism with 2 WEEKS flex Receipt and referral: 2 WEEKS Reviewer recruitment and application assignment by SRO: 4 WEEKS Reviewer time with applications and writing critiques: 4 WEEKS Meetings: SPREAD OVER 4 WEEKS (40 meetings per week) Writing summary statements: 4 WEEKS

Total time needed for review from submission to SS: 4.5 MONTHS



Suggested Review Schedule R01

Due Dates	Merit Review	SS Due	Council	Subm to SS
January	April	May	July	4.5 mo
May	August	September	November	4.5 mo
September	December	January	March	4.5 mo

This is a faster schedule for CSR review and has no quality compromises but creates some workload distribution and flexibility problems. The latter may be solved by sliding due dates a month earlier and allowing more internal adjustments for a 5-5.5 month time to summary statement.

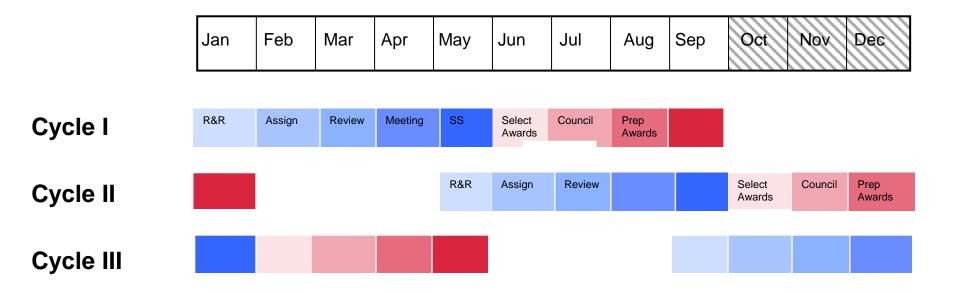


New Cycle 1 on 2015 Calendar

		Ja	nua	ry					Fe	brua	ary					N	larc	h						Apri	l		
S	M	Т	W	Т	F	S	S	М	Т	W	Т	F	S	S	М	Т	W	Т	F	S	S	М	Т	W	Т	F	S
				1	2	3	1	2	3	4	5	6	7	1	2	3	4	5	6	7				1	2	3	4
4	S	ıĥr	mis	ĉ	oh	10	8	SR			12	13	014	8	9	10	vie	12	13	14	5	6	D	vie	9	10	11
11	12	13	11	15	16	17	15	16	17	18	19	20	21	15	10	11	10	19	20	21	12	13	14	15	16	17	18
18	19	20	DR I	22	23	24	22	23	A	SSi	gn	27	28	22	23	14	tiq	ue	S 27	28	19	2	lee	etir	ng s	24	25
25	26	27	28	29	30	31								29	30	31					26	27	28	29	30		
			May	6		D				June	Ð			p		,	July	1			¢		A	ugu	st		
S	М	Т	W	Т	F	S	S	М	Т	W	Т	F	S	S	М	Т	W	Т	F	S	S	М	Т	W	Т	F	S
					1	2		1	2	3	4	5	6				1	2	3	4							1
3	4	ur	nm	ar	8	9	7	8	9	10	11	12	13	5	6	7	8	9	10	11	2	3	4	5	6	7	8
10			em		10.000	16	14	Sel	lec	1	W 8	I	520	12	13	4)ųr	C	17	18	9	r e	p,	Ąм	/ar	ds	1
17	18	19	20	21	22	23	21	22	23	24	25	26	27	19	20	21	22	23	24	25	16	17	18	19	20	21	2
24	25	26	27	28	29	30	28	29	30					26	27	28	29	30	31		23	24	25	26	27	28	2
31																					30	31					
	Ì	Sep	tem	bei	្រ				00	ctob	er					Nov	/em	ber	8		\$		Dec	em	ber	8	
S	М	Т	W	Т	F	S	S	М	Т	W	Т	F	S	S	М	Т	W	Т	F	S	S	М	Т	W	Т	F	S
		1	2	3	4	5					1	2	3	1	2	3	4	5	6	7			1	2	3	4	5
6	7	8	9	10	11	12	4	5	6	7	8	9	10	8	9	10	11	12	13	14	6	7	8	9	10	11	1:
13	14	Ay	/ar	d ,S	18	19	11	12	13	14	15	16	17	15	16	17	18	19	20	21	13	14	15	16	17	18	1
20	21	22	23	24	25	26	18	19	20	21	22	23	24	22	23	24	25	26	27	28	20	21	22	23	24	25	2
27	28	29	30				25	26	27	28	29	30	31	29	30						27	28	29	30	31		

Proposed Timeframe for R01s -- Submission to Award

Three Main Overlapping Cycles for 2015



http://grants1.nih.gov/grants/funding/submissionschedule.htm



Where to make improvements in submission to award

- Start with awards help NIH avoid fiscal year startup blues. Consider January, May, and September as award months.
- Make application submission through Grants.gov smoother. Provide strong support for new software
- Give more positive reinforcement for reviewers- light refreshments and better travel rules
- Help us control the number of applications

For review of the full load of applications we think that about 4.5 months from submission to summary statement can be done; to try to go faster under current application loads will compromise quality.



This Is CSR





Questions? Comments?

CSRDirector@csr.nih.gov



Recommendation on engaging students in science

Matt Anderson SMRB Meeting 070714

I. Personal experience

- Interest in natural world
- Stubbornness
 - "Especially Weigand; he looks just like the guy who slapped my head and roared at me about football, the guy who taught us science but didn't know the difference between the three classes of levers"

- Reference to forming club by Anon

• Lots of self doubt

II. Challenges and Opportunities

- Challenges
 - Lack of academic rigor/exposure
 - Lack of context
 - Connection to future
 - Lack of resources

Many people assume that most Native students attend Bureau of Indian Education schools. In reality, however, only

7 percent of Native students attend BIE schools.



While Native students are more likely than their peer attend rural schools, about **one-third** of student attend urban or suburban schools.

The vast majority – 93 percent – attend regular public schools.

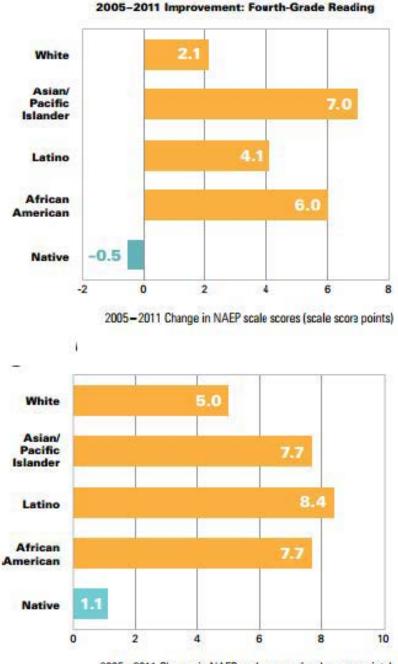
http://www.edtrust.org

II. Challenges - Resources

- Physical resources
 - Infrastructure
 - Access to technology
- Financial
 - Cost of college
 - Tribal/CCs with fewer scientific tracts
- Personnel
 - Teacher quality
 - TFA

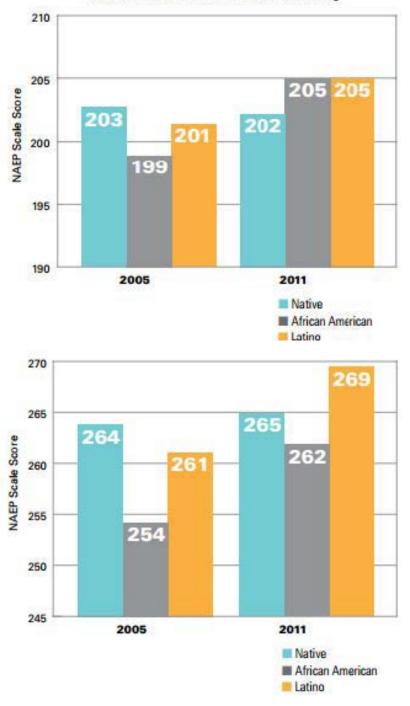
• Mentors

- Connecting science to community
- Research experiences



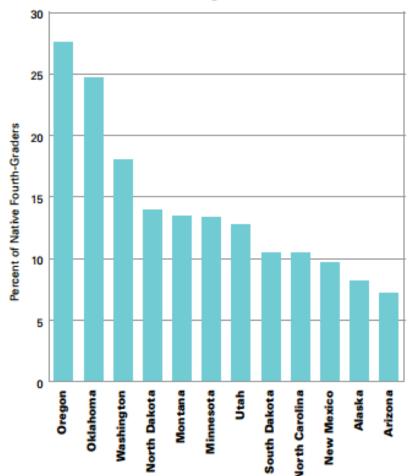
²⁰⁰⁵⁻²⁰¹¹ Change in NAEP scale scores (scale score points)

NAEP Performance: Fourth-Grade Reading



II. Opportunities

- Support organizations
- Pipeline programs
- Learning/research opportunities
- Understanding context
- Role models



Percent of Native Fourth-Graders Proficient or Advanced in Reading on NAEP

II. Opportunities - Support

- AISES
- NIM
- ANAMS
- SUUMA









II. Opportunities – Pipeline and Research

- Pipeline
 - College Horizons
 - Native American High School Summer Program (Harvard)
 - Na Pua No'eau
- Research
 - Tribal colleges
 - government programs
 - R1 academic institutions



II. Opportunites – Context and Mentors

- Context
 - Teaching in a culturally sensitive way
 - i.e. genetics
 - Tying research to community
 - i.e. NAHSSP focused on addiction
- Mentors
 - Validation
 - Peer-to-peer without competition
 - Work in cohorts
 - Highlighting role models







III. Advice - Engagement

• Engagement

- Travel to communities to engage students
 - What is science like
 - What can you do with it
 - How is it used to benefit society/communities
- Work directly with Tribal colleges
- Offering NIH research opportunities

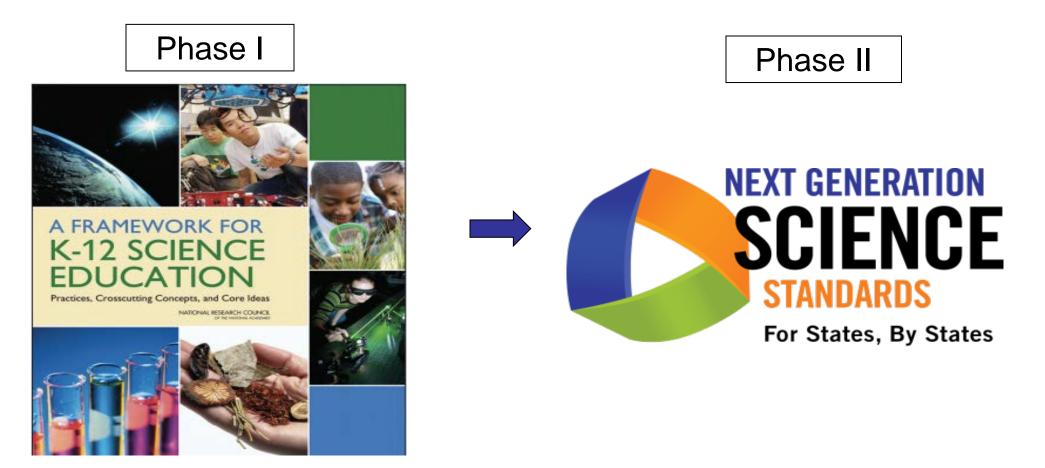
III. Advice - Support

- Holding up the pipeline
- Encourage mentorship
- Data collection on career paths
- Target broad spectrum of students

For States, By States **ZOARADNATZ** EINERGE **NEXT GENERATION**

Developing the NGSS



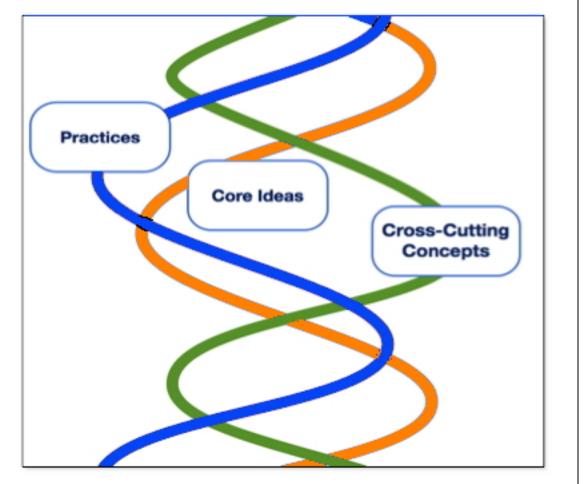


1/2010 - 7/2011

7/2011 – April 2013

Three Dimensions Intertwined





- The NGSS are written as Performance Expectations
- NGSS will require contextual application of the three dimensions by students.
- Focus is on how and why as well as what





What's Different about the Next Generation Science Standards?

Current State Science Standard Sample

Inquiry Standards

- a. Students will explore the importance of curiosity, honesty, openness, and skepticism in science and will exhibit these traits in their own efforts to understand how the world works.
- b. Students will use standard safety practices for all classroom laboratory and field investigations.
- c. Students will have the computation and estimation skills necessary for analyzing data and following scientific explanations.
- d. Students will use tools and instruments for observing, measuring, and manipulating equipment and materials in scientific activities utilizing safe laboratory procedures.
- e. Students will use the ideas of system, model, change, and scale in exploring scientific and technological matters.
- f. Students will communicate scientific ideas and activities clearly.
- g. Students will question scientific claims and arguments effectively.

Content Standards

- a. Distinguish between atoms and molecules.
- b. Describe the difference between pure substances (elements and compounds) and mixtures.
- c. Describe the movement of particles in solids, liquids, gases, and plasmas states.
- d. Distinguish between physical and chemical properties of matter as physical (i.e., density, melting point, boiling point) or chemical (i.e., reactivity, combustibility).
- e. Distinguish between changes in matter as physical (i.e., physical change) or chemical (development of a gas, formation of precipitate, and change in color).
- f. Recognize that there are more than 100 elements and some have similar properties as shown on the Periodic Table of Elements.
- g. Identify and demonstrate the Law of Conservation of Matter.





Current State Middle School Science Standard

- a. Distinguish between atoms and molecules.
- b. Describe the difference between pure substances (elements and compounds) and mixtures.
- c. Describe the movement of particles in solids, liquids, gases, and plasmas states.
- d. Distinguish between physical and chemical properties of matter as physical (i.e., density, melting point, boiling point) or chemical (i.e., reactivity, combustibility).
- e. Distinguish between changes in matter as physical (i.e., physical change) or chemical (development of a gas, formation of precipitate, and change in color).
- f. Recognize that there are more than 100 elements and some have similar properties as shown on the Periodic Table of Elements.
- g. Identify and demonstrate the Law of Conservation of Matter.





Current State Middle School Science Standard

- a. Distinguish between atoms and molecules.
- **b. Describe** the difference between pure substances (elements and compounds) and mixtures.
- **c. Describe** the movement of particles in solids, liquids, gases, and plasmas states.
- **d. Distinguish** between physical and chemical properties of matter as physical (i.e., density, melting point, boiling point) or chemical (i.e., reactivity, combustibility).
- e. Distinguish between changes in matter as physical (i.e., physical change) or chemical (development of a gas, formation of precipitate, and change in color).
- **f. Recognize** that there are more than 100 elements and some have similar properties as shown on the Periodic Table of Elements.
- g. Identify and demonstrate the Law of Conservation of Matter.





NGSS Middle School Sample

Students who demonstrate understanding can:

- 1. Develop models to describe the atomic composition of simple molecules and extended structures.
- 2. Analyze and interpret data on the properties of substances before and after the substances interact to determine if a chemical reaction has occurred.
- 3. Gather and make sense of information to describe that synthetic materials come from natural resources and impact society.
- 4. Develop a model that predicts and describes changes in particle motion, temperature, and state of a pure substance when thermal energy is added or removed.
- 5. Develop and use a model to describe how the total number of atoms does not change in a chemical reaction and thus mass is conserved.
- 6. Undertake a design project to construct, test, and modify a device that either releases or absorbs thermal energy by chemical processes.*





NGSS Middle School Sample

Students who demonstrate understanding can:

- 1. Develop models to describe the atomic composition of simple molecules and extended structures.
- 2. Analyze and interpret data on the properties of substances before and after the substances interact to determine if a chemical reaction has occurred.
- 3. Gather and make sense of information to describe that synthetic materials come from natural resources and impact society.
- 4. Develop a model that predicts and describes changes in particle motion, temperature, and state of a pure substance when thermal energy is added or removed.
- 5. Develop and use a model to describe how the total number of atoms does not change in a chemical reaction and thus mass is conserved.
- 6. Undertake a design project to construct, test, and modify a device that either releases or absorbs thermal energy by chemical processes.*



Shifts in the NGSS



- 1. Evidence of learning
- 2. Learning Progressions
- 3. Science and Engineering
- 4. Coherence of Science Instruction
- 5. Connections within Science and between mathematics and literacy



Contact Information



Stephen Pruitt, Ph.D. Senior Vice President spruitt@achieve.org

www.nextgenscience.org





Pioneering science delivers vital medicines[™]

Amgen's Commitment to Inspiring the Next Generation of Scientists

Jean Lim Terra President Amgen Foundation July 7, 2014

A Long-Standing Commitment to Advancing STEM Education in the United States and Abroad

AMGEN[®]

- World's largest independent biotechnology company
- Approximately 20,000 employees
- In over 75 countries
- Reaching millions of patients

Through the Amgen Foundation—Amgen's primary philanthropic vehicle—we emphasize	 Tie meaningful initiatives to company identity and core competencies
and deliver a suite of world-class STEM education initiatives that demonstrate	 Emphasize solicited, long-term signature initiatives with a measureable impact
Amgen's commitment to science and society	 Focus on inspiring the next generation of scientists and strengthening scientific literacy

Two Major Strategies in Science Education

Supporting Teacher Quality

Pivotal, Hands-On Science Experiences

To date, Amgen and the Amgen Foundation have committed over \$80 million to nonprofit organizations in the U.S. and abroad to advance STEM education.

Provided July 7, 2014 as part of an oral presentation and is qualified by such, contains forward-looking statements, actual results may vary materially; Amgen disclaims any duty to update. | Amgen Confidential.



Select Amgen Investments in Pre-College STEM Education

Amgen Biotech Experience



Effectively brings biotechnology to high schools in Amgen communities in the U.S., U.K., and Ireland

Starting with one high school in 1990, the program today reaches over 60,000 students annually with engaging labs

Strong teacher professional development and support is a hallmark of the program Nat'l Board for Professional Teaching Standards



Strengthening science instruction and student achievement in Amgen communities

Creates a cadre of National Board Certified Teachers in science in Amgen communities to improve student performance

Developed online courses using performance data to improve science teaching nationwide National Academy Foundation



Network of careerthemed academies for underserved high school students

Course developed on the Principles of Biotechnology, part of the new Academy of Health Sciences

Plans underway to develop additional courses on industry as well as specific sectors of the industry

100Kin10 STEM Initiative



A multi-sector network that responds to the national imperative to train 100,000 excellent science, technology, engineering, and math (STEM) teachers by 2021

Aims to increase the quantity and quality of STEM teachers

Ensures that all students have access to first-rate STEM teaching and learning

Provided July 7, 2014 as part of an oral presentation and is qualified by such, contains forward-looking statements, actual results may vary materially: Amgen disclaims any duty to update. | Amgen Confidential.





Amgen Biotech Experience

Scientific Discovery for the Classroom

- Developed through a special collaboration between Amgen scientists and educators, the first labs were used in 1990 at a local high school next to Amgen's global headquarters
- This uniquely Amgen program opens students' eyes to the world of biotechnology, bringing professional-grade lab equipment and the 'wow' factor to biology classrooms
- Nearly \$9 million invested to date has allowed the program to reach 360,000+ students across Amgen regions, including 60,000 students the past year alone

www.amgenbiotechexperience.com

"The Amgen program is modern, current, and cutting edge. Micropipettes, gel electrophoresis – students love it. This program is incredibly powerful." Mary Simun, Biology Teacher Redondo Union High School, California

Provided July 7, 2014 as part of an oral presentation and is qualified by such, contains forward-looking statements, actual results may vary materially; Amgen disclaims any duty to update. | Amgen Confidential.



Current Program Regions

 ✓ Southern California 	✓ Rhode Island
 ✓ Northern California 	 ✓ Washington, D.C.
✓ Colorado	✓ Washington
✓ Massachusetts	✓ England
✓ Puerto Rico	✓ Ireland





Effective Biomedical Lab Experiences Bring Relevancy, Rigor, and Genuine Engagement to High School Classrooms

Value of the Amgen Biotech Experience

- Provides real-world concepts and work experiences of the biomedical industry
- Promotes student interest in biomedical career possibilities
- Leads to more science course-taking in high school and college
- Strongly addresses hands-on "science and engineering practices" required by NGSS*



Strong, Experienced Partners

- Partner organizations bring premier national expertise in science education
- EDC leads Program Office; WestEd increasing formal evidence of effectiveness
- Regional partners include Harvard, UC Berkeley, community colleges, and others

*Next Generation Science Standards

Provided July 7, 2014 as part of an oral presentation and is qualified by such, contains forward-looking statements, actual results may vary materially; Amgen disclaims any duty to update. | Amgen Confidential.

Biomedical Science in High Schools

- Students from all backgrounds can be engaged in solving problems and careers related to helping others
- Thus biomedical sciences has every potential to be a career field that attracts students and helps them to persist in STEM education and careers
- ABE provides an experience that fills a void between many core curricular programs and the need for experiences that demonstrate the applicability of that content to students, and engages them in developing relevant skills
- Student engagement and understanding of the application of content is more likely to lead to further course-taking and retention in STEM programs; thus, engagement and career awareness is key to building the pipeline in STEM fields



Amgen Scholars Continues to Launch Hundreds of Undergraduates on the Path to a Scientific Career



Access to Incredible Opportunities Jose Rios of Arizona State University, one of over 2,400 Amgen Scholars to date, spent the summer under MIT Institute Professor Bob Langer, named by Forbes as one of the 25 most important individuals in biotech in the world. Jose was the first in his family to attend college, and is now in graduate school in biomedical engineering at Cornell.

- Now in its 8th year, this premier summer research program at top universities is open to undergraduates across the U.S. and Europe
- Made possible by a \$34 million, eight-year commitment, ensuring that all students are able to participate regardless of their financial status
- Unique U.S. and European Symposiums highlight medical biotechnology and engage Amgen executives and staff
- An all-time high of 4,200 students from over 800 colleges and universities applied for this year's 325 slots
- Robust, independent evaluation in place since program launch allows for data-based decision-making, continuous improvement, and ability to track impact over time



6

Provided July 7, 2014 as part of an oral presentation and is qualified by such, contains forward-looking statements, actual results may vary materially; Amgen disclaims any duty to update. | Amgen Confidential.





Amgen Scholar Alumni are Pursuing Advanced Scientific Degrees and Careers in Large Numbers



* Status as of February 2013. Note that 711 of the 1807 alumni are still pursing their undergraduate degree and have not been included in the chart.

**This number includes the 20 alumni who are currently pursing specialty science programs, or post-bacc fellowships.

Provided July 7, 2014 as part of an oral presentation and is qualified by such, contains forward-looking statements, actual results may vary materially; Amgen disclaims any duty to update. | Amgen Confidential.

ALUMNI PROFILE

Scholar Name Seychelle Vos Undergraduate Institution University of Georgia

Amgen Scholars Program University of California, Berkeley (2007) Seychelle's experience as an Amgen Scholar inspired her to return to UC Berkeley for graduate school, where she's just completed her PhD.



2007 Amgen Scholar





Finding STEM Programs that work: The Power of STEMworks

Scientific Management Review Board, NIH July 7, 2014



Why Design Principles?

Many wanted better guidance





Why Design Principles?

A very crowded field





Design Principles Committee

- Accenture
- Carolina Biological
- Chevron
- Cisco
- Causecast
- Dupont

CHANGE THE

- ExxonMobil
- Freeport-McMoRan

- IBM
- Intel
- Merck
- Nature Publishing
- Oracle
- Procter & Gamble
- Teradata
- Texas Instruments



STEM Design Principles

Based in research and deep expertise

F



STEM Design Principles

- A. NEED F. CAPACITY
- **B. EVALUATION G. STEM CONTENT**
- C. SUSTAINABILITY H. STEM PRACTICES
 - I. STEM INTEREST
 - J. UNDERREPRESENTED GROUPS



D. REPLICABILITY

E. PARTNERSHIPS

F

STEM Rubric

 $A. \ Need: \ {\tt Does the program address a compelling and well-defined need?}$

ACCOMPLISHED	DEVELOPING	UNDEVELOPED	
Statement of need is clear, compelling, and supported by recent, valid, and targeted data.	Statement of need is clear and compelling but cites only general data.	Description of need is vague or unconvincing and cites little or no data.	
Program makes clear that it adds unique value in addressing the need.	Program identifies other past or present pro- grams that address the same need, but does not fully demonstrate how it adds to those programs.	Program makes no attempt to identify or evaluate other past or present programs that address the same need.	
Target audiences are well defined and closely tied to statement of need.	Program defines target audiences but does not clearly tie them to statement of need.	Program does not make clear what audiences it is targeting.	
Program can demonstrate that it is reaching the target au dience.	Program makes clear efforts to reach target audience but cannot demonstrate what proportion of those audiences it is reaching.	Program makes little effort to reach intended audience.	

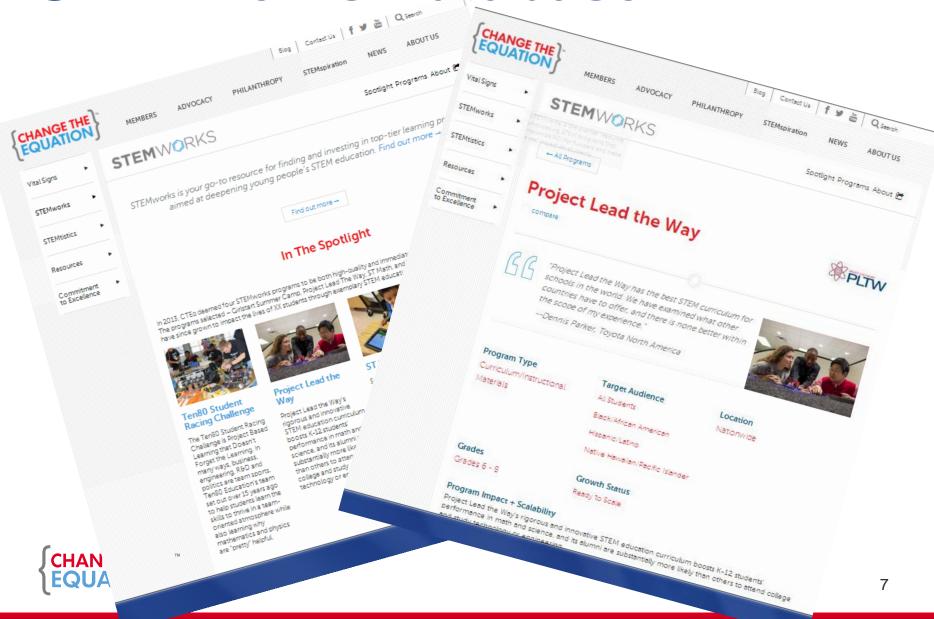
Sample evidence:

Ţ

- Program description
- Literature review with cited, research-based data
- * Mission/vision or goal statement for program (includes the target population for the program)
- * Existing needs assessment data that was used for planning and/or program development
- Logic model
- * Evaluation reports that define the need, the target audience, and/or recent data from the research base
- Student/participant demographic data
- * Documents that reflect where the program fits into the landscape of existing efforts



STEMworks Database



Rigorous Application Process

gram	self-evaluation:			
-		this program to test	us or interface	
gram: I	est Program - use t	ans program to test	user interface.	
ES UPL	OADED: Uploaded s	upporting files for all	sections	
Below	are the documents yo	u have uploaded, with (descriptions	
Show (Uploaded Documents >			
ERARCI	HING PRINCIPLES :	Sections A-H		
A. NE	ED			
		compelling and well-de	fined need?	Undeveloped
Show /	Additional Details >			
_				
	ALUATION			Accomplished
		us evaluation to contin itious but measurable g		
	Additional Details >			
	STAINABILITY			Accomplished
Does	the program ensure the	at the work is sustainab	le?	
Show /	Additional Details >			
	PLICATION AND SCAI	LABILITY eplication and scalabilit	v?	Developing
	Additional Details *			
	Additional Details >			
Show				
	TSIDE FACTORS			Undeveloped



Rigorous Application Process

ES U Bek	: Test Program - use this program to test use PLOADED: Uploaded supporting files for all sec ow are the documents you have uploaded, with des			
Bek		ctions		
	w are the documents you have uploaded, with des			
-		criptions		
Sho	w Uploaded Documents *			
FRAR	CHING PRINCIPLES : Sections A-H			
	IEED is the program address a compelling and well-define	ed need?		Undeveloped
She	w Additional Details >			
Hide	e Additional Details »			
Y	our explanation for this rating:		Documents that support	your rating for this princip
3	some words need to go here.		[view] CTE_Design_Prir [view] CTE_Design_Prir	
				Undeveloped
	Accomplished	Developing		
	Accomplished Goals are ambitious but feasible and directly linked to the statement of need. A clear description is provided on how progress will be measured.		us and feasible but difficult to	Goals are too ambitious for thi program alone to fulfill-or too
	Goals are ambitious but feasible and directly linked to the statement of need. A clear description is provided on how	Program goals are ambition measure.	us and feasible but difficult to but the timeline is vague or	Goals are too ambitious for thi program alone to fulfili-or too unambitious to be worthwhile.
ТМ	Goals are ambitious but feasible and directly linked to the statement of need. A clear description is provided on how progress will be measured.	Program goals are ambition measure. Scope of work is included,	but the timeline is vague or uses evaluation data to	Goals are too ambitious for thi program alone to fulfili-or too unambitious to be worthwhile. Program lacks clear milestone

CHAN

9

A High Bar

- Only **29%** of applicants admitted thus far
- Strong commitment to the TRANSPARENCY of the process
- FIREWALL between Change the Equation and WestEd reviewers



Scalable Programs

- Girlstart Summer Camp
- Project Lead the Way
- ST Math
- TEN80 Student Racing Challenge





Thank you Claus von Zastrow

COO/Director of Research

cvonzastrow@changetheequation.org

STEMworks:



Scientific Management Review Board (SMRB)-NIH

Gary L. Harris, Ph.D., P.E. Associate Provost for Research and Graduate Studies Director of the Howard Nanoscale Science and Engineering Facility (HNF) co-PI NSF STC Center fo Integrated Quantum Materials

Outline

- Historical Facts about Howard
- Howard's Research Priorities
- New HulRB
- Comments of the NIH Review Process

Facts about Research at Howard

- University Charter: March 2, 1867
- 10,500 Students, 13 Schools & Colleges
- Graduate School: 31 programs, 17 STEM area
- 20 Ph.D areas, largest Undergraduate Program in Biology
- NSF Report: largest producer of AA Ph.D.s is STEM
- 32 Million in R&D, 70 million Sponsored Programs

Howard Research Priorities

- Health Disparities
- Nanotechnology/High Performance Materials
- Computational Science/ Cyber Security
- Atmospheres Sciences
- HIV/AIDs
- Stem Cell/Human Genome
- New Media, Electronic/Digital Arts and Gaming
- Educational Disparities
- Green Technologies/Initiatives & Environmental Sustainability

Howard Interdisciplinary Research Building

- \$300 million investment in infrastructure
- 80 million facility
- 43,400 sq./ft assignable
- Hearth of DC high Tech Corridor
 - Nanotechnology/Cleanroom
 - Natural Products Research
 - Developmental Biology/Stem Cell
 - Atmospheric Sciences



Core Labs

Comments on Review Process

- Turnaround time for review is quite lengthy
- Reviewers seem to be unfamiliar with the details of the RFA
- Bias against minority institutions; assumption that capacity for performing research in inadequate
- News trolling about an institution; using this information in the review
- Study section reviewers are funded; bias towards keeping funding among small set colleagues

Comments on Review Process

- Need for NIH to pay more attention to "collaborations" with minority serving institutions – ensuring that the MSI is not included as only a means to "boost" minority numbers
- Select reviewers based on keywords/concepts to ensure that content matter experts are reviewing
- Less of a focus on individual grants, but rather more collaborations/small partnerships

Comments from recently unfunded proposals

- The leadership for training URM students at Howard has traditionally been a strength, but recent changes at the University appear to have weakened this capability
- ...all three faculty are male. Given that many of the students will be female, it would be important to have female faculty be part of the programmatic team.

Recent NSF Science & Technology Center



- Center for Integrated Quantum Materials (NSF-STC) with Harvard/MIT
- Vision- The discovery of extraordinary new quantum materials with striking 'nonconventional' properties has caused great excitement, and it promises to transform signal processing and computation
- CIQM \$ 4.5 M per year (Howard 1M)

NIH Scientific Management Review Board (SMRB) Meeting

Richard D. Hichwa, PhD University of Iowa July 7, 2014

NIH Funding

- Iowa Perspective
- Key Problems
- Training Environment
- Rethinking the NIH Grant
- Review and Evaluation Process

University of Iowa Data

NIH Funding by Grant Type	<u>2012</u>	<u>2013</u>	<u>2014</u>	
R01	228	206	205	(.775 M)
R21	26	28	25	
R03	6	11	12	
R13	1	2	1	
Ρ	23	21	24	(1.17 M)
U	14	19	17	
Т	30	24	32	
K	36	37	27	
F	17	24	20	
<u>Other</u>	<u>37</u>	37	<u> 48</u>	
Total	418	409	411	

The Funding Problem:

Academic Culture vs Federal Sponsored Research

- Capitalistic Academy: Growth is the only way to achieve distinction
- Tenure based on obtaining grant funding
- Increasing # applicants vs decreasing funding pool
- Fund your own position
- Independent investigator vs multidisciplinary team
- PIs with more grants rewarded by institutions
- Sustaining a large lab requires fulltime grant writing
- Pressure to produce can lead to research misconduct

University Medical Schools

- Measures of productivity, distinction and ranking are based almost exclusively on grant funding.
- Schools of Medicine are heavily leveraged and subsidized by NIH funding.
- Translational medicine is considered second rate compared to bench science.
- The demand for laboratory investigation requires growth in research space.



Junior vs Senior Researchers

- How to compete with long standing researchers?
- New ideas vs Incremental research
- Tenure track vs Clinical track
- Protected time vs Accountability for all effort
- Existing lab infrastructure vs Starting-up
- Mentoring and improving competitiveness

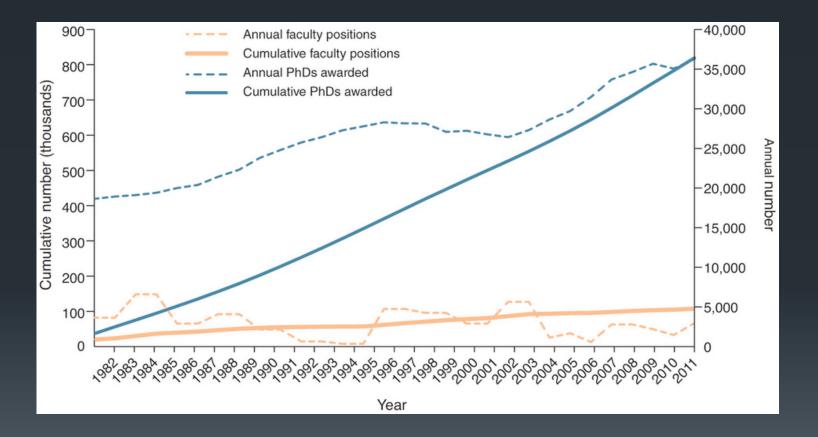
The Training Environment

- Trainees vs Employees
- Cloning the faculty
- Predocs vs Postdocs
- Alternative careers
- Developing a career trajectory in a mentored setting
- Infrastructure demands





New Faculty Positions vs New PhDs



Schillebeeckx, et al. Nature Biotechnology, **31** 938-941 (2013)

What's Needed at NIH

- More grant opportunities
- Different grant opportunities
- Streamlined review process
- Clearer evaluation criteria
- Better reviewer training



- Promotion of translational research/clinical trials
- Lead the culture change in academic medicine



Rethinking the NIH grant

- R01, R21 and P01 or what?
- It is about IMPACT. It's all about IMPACT.
- Is there real and identifiable translation in the application?
- Develop a "rapid idea" grant mechanism to quickly test concepts. Short application with equally short review cycle.
- Limit the effort (inclusive of all combined NIH funding) of PI and Investigators to no more than 30%.
- Develop "term limits" on the number of times a grant can be renewed.
- Deliverables (contract) vs Aims (grant)
- Reward success with limited term "add-on" funding



Today's Review Process

What' good:

Bulleted strengths and weaknesses Availability to read reviewer critiques Excellent NIH program officers and staff In-person Study Section review sessions

What's not so good:

Over emphasis on approach The Big Picture is lost Too many critiques per reviewer Inconsistency between reviewers Critiques highly variable and often provide minimal feedback Preliminary data interpreted to mean research nearly completed Too few submission deadlines Translational research not valued by study sections Critiques provide minimal feedback to reviewers Inconsistent scoring

Tomorrow's Review Process

- Timing: Continuous review cycle with manuscript like evaluation
- 2-Step process: Develop a short submission application with invitation to proceed to a full application based on ideas and concepts
- Applicant Feedback: Provide almost immediate feedback
- Risk: Truly endorse new ideas and high risk applications
- Reviewer Feedback: Continuously critique reviewers and provide constructive criticism
- Workshops: Mandatory participation by reviewers to improve critiques and feedback to applicants
- Workload: Reduce grant review workload
- Scoring: Better guidance on review criteria

Evaluation Criteria

- Provide more explicit guidance to reviewers
- Provide examples of excellent applications and poor applications
- Develop clear metrics for success as part of RFAs to assist reviewers in evaluating applications
- Improve evaluation guidance with specific criteria to improve consistency of scoring
- Provide weighting criteria for elements of the review to improve uniformity
- Emphasize Impact and the Big Picture
- Stress <u>Innovation</u> that can lead to economic development and commercialization
- Identify <u>Translation</u> aspects of proposal



Summary

- Current process is neither sustainable nor consistent
- Significant changes are needed
- Many good options exist
- Changes can be accomplished quickly and phased in over time
- NIH must take initiative to change the culture



NIH Scientific Management Review Board

Working Group on Pre-college Engagement in Biomedical Science

PRELIMINARY FINDINGS

JULY 7, 2014

ROSTER

Non-Federal Members

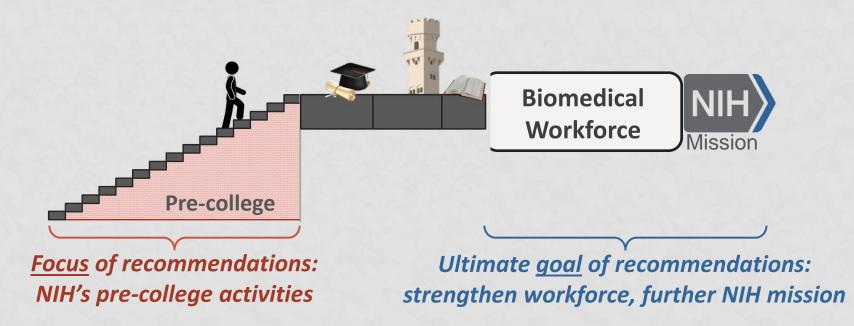
- Clyde W. Yancy, M.D. (Chair)
- Nancy C. Andrews, M.D., Ph.D.
- Norman R. Augustine
- Lee E. Babiss, Ph.D.
- Gilbert S. Omenn, M.D., Ph.D.

Federal Members

- Josephine P. Briggs, M.D.
- Gary H. Gibbons, M.D.
- Alan E. Guttmacher, M.D.
- Stephen I. Katz, M.D., Ph.D.
- Roderic I. Pettigrew, Ph.D., M.D.

CHARGE

To recommend ways to optimize NIH's pre-college programs and initiatives that both align with the NIH mission and ensure a continued pipeline of biomedical science students and professionals



NIH MISSION: GOALS OF THE AGENCY

- Foster fundamental creative discoveries, innovative research strategies, and their applications as a basis for ultimately protecting and improving health
- Develop, maintain, and renew scientific human and physical resources that will ensure the Nation's capability to prevent disease
- Expand the knowledge base in medical and associated sciences in order to enhance the Nation's economic well-being and ensure a continued high return on the public investment in research
- Exemplify and promote the highest level of scientific integrity, public accountability, and social responsibility in the conduct of science

BIOMEDICAL WORKFORCE

Preliminary findings:

- The evolution of biomedical research produces new job categories and opportunities for young people to bring new capabilities for emerging areas of research. This puts a premium on teaching and learning experiences that recognize and anticipate these changes
- The number and quality of individuals going into biomedical research appear to be adequate, but the diversity of the workforce needs improvement
- Some groups are underrepresented in the biomedical workforce and in positions of leadership
- Gender, race/ethnicity, and SES show clear gaps

BIOMEDICAL WORKFORCE (CONT.)

Preliminary finding:

Current conceptualization of the workforce is too narrow

Biomedical Workforce

Principal investigator Clinician scientist Postdoctoral researcher

VS.

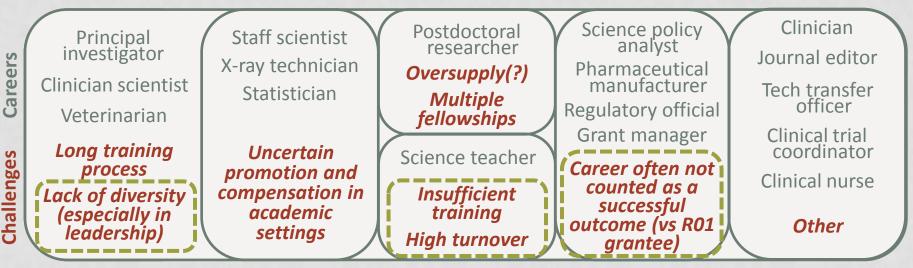
	Tech transfer officer	Science teacher	ience teacher Clinical trial coordinato		Veterinarian	
	Journal editor	Pharmaceutical manufa	aceutical manufacturer		Staff scientist	
	Statistician	Biomedica	Biomedical Workforce*		Clinician	
	Principal invest	tigator Clinicia	Clinician scientist		Postdoctoral researcher	
Science policy analyst		X-ray technician	Gran	t manager	Regulatory official	

*For a list of fields of study, see http://www.nsf.gov/pubs/2012/nsf12599/nsf12599.htm#appendix

BIOMEDICAL WORKFORCE (CONT.)

Preliminary findings:

 Some workforce challenges can be addressed through pre-college activities (see circled challenges)



- Other workforce-related challenges include:
 - Student preparedness for college coursework
 - Student access to educational and career opportunities
 - Perception of the scientific workforce as being solely academic

BIOMEDICAL WORKFORCE (CONT.)

Topics/perspectives for further study:

- Skills and training high school graduates need in order to succeed in post-secondary and graduate biomedical science programs and the biomedical workforce
- Analysis of the racial/ethnic/gender makeup of biomedical workforce in particular fields and in positions of leadership in the context of national demographics
- Approaches to engaging graduate students and post-docs in informal science teaching/learning settings and identifying science teaching as an attractive career option
- Identify the types of jobs that should be considered successful outcomes of NIH-funded training and outreach

ELEMENTS OF THE CHARGE

- Examine the evidence base for successful approaches for precollege biomedical science programs aimed at strengthening the biomedical workforce pipeline
- 2. Identify the attributes, activities, and components of effective pre-college biomedical science programs, including the role and relative importance of teacher training programs
- Identify those points in the pre-college biomedical workforce pipeline where NIH's efforts could be applied most effectively, given finite resources
- 4. Define ways for NIH to improve the evidence base for effective pre-college biomedical science programs

Next: preliminary findings and data needs for each element

CHARGE ELEMENT 1: SUCCESSFUL APPROACHES

"Examine the evidence base for successful approaches for precollege biomedical science programs aimed at strengthening the biomedical workforce pipeline."

Preliminary findings:

- It is helpful to expose students to positive science environments, provide science education outside of the classroom, and link learning to career opportunities
- Pre-college curricula tend to focus on general science (not biomedical science), although high school biology is commonly taken
- Human biology and biomedical research should be a greater part of the high school biology course/curriculum
- There is a need to engage and retain students from underrepresented minority populations, and improve access to educational and career opportunities

CHARGE ELEMENT 1: SUCCESSFUL APPROACHES (CONT.)

Topics/perspectives for further study:

- 2011 NRC report on "Successful K-12 STEM Education: Identifying Effective Approaches in STEM" (<u>http://www.nap.edu/catalog.php?record_id=13158</u>)
- Insights from experts in pre-college science education; areas could include curriculum, teacher training, and education theory
- Identify ways to evaluate the effectiveness of educational and outreach approaches

CHARGE ELEMENT 2: ATTRIBUTES OF EFFECTIVE PROGRAMS

"Identify the attributes, activities, and components of effective pre-college biomedical science programs, including the role and relative importance of teacher training programs."

Preliminary findings:

- Some programs have proven effective at raising the skill-level and effectiveness of science teachers but are often too costly to scale up
- The most effective programs are sustainable and scalable
- Effective programs improve teaching, equip students with necessary skills, engage students, and/or give students greater access to biomedical science learning opportunities

CHARGE ELEMENT 2: ATTRIBUTES OF EFFECTIVE PROGRAMS (CONT.)

Preliminary findings:

- Improvements are needed in science teacher preparedness and retention, with an emphasis on elementary and middle school teachers, especially those teaching lower income populations
 - Science teachers receiving <6 hours of subject-specific professional development in the past three years: elementary = 65%, middle school = 30%, and HS = 23%
 - Science teacher turnover rates are very high

Topics/perspectives for further study:

- Experience of institutions that fund pre-college engagement programs
- Insights from experts in pre-college science education; areas could include curriculum, teacher training, education theory
- Review successful pre-college programs (e.g., Stanford Medical Youth Science Program)
- Identify ways to evaluate the effectiveness of programs

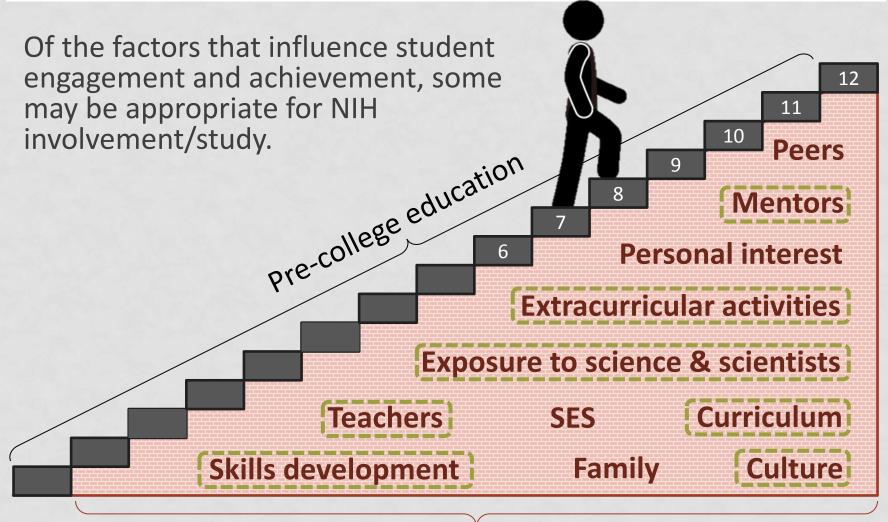
CHARGE ELEMENT 3: OPTIMAL USE OF NIH RESOURCES

"Identify those points in the pre-college biomedical workforce pipeline where NIH's efforts could be applied most effectively, given finite resources."

Preliminary findings:

- Potential targets for NIH activities include students, teachers, parents, schools, communities, and curriculum, as well as NIH-funded trainees, researchers, and others interested in teaching or mentoring pre-college students
- Leveraging NIH's existing network of funded research centers would be a more cost-efficient way to support pre-college outreach (especially to underrepresented groups) than generating a new office or program
- NIH could partner with other agencies and organizations that already engage or study pre-college students (e.g., Department of Education, NAS, NSF, Next Generation Science Standards)
- SMRB should develop short-, medium-, and long-term steps NIH can take to improve pre-college engagement in biomedical science

CHARGE ELEMENT 3: OPTIMAL USE OF NIH RESOURCES (CONT.)



Factors that influence engagement and achievement

CHARGE ELEMENT 3: OPTIMAL USE OF NIH RESOURCES (CONT.)

Topics/perspectives for further study:

- Review of pre-college engagement programs supported by NIH (e.g., BUILD, Summer Internship Program, NIH Institute and Center activities)
- Curriculum development and Next Generation Science Standards
- Resources and logistics needed to operate programs like Stanford Medical Youth Science Program
- Willingness of NIH grantee institutions to engage and mentor precollege students
- Forming partnerships with non-academic partners in pre-college outreach efforts
- Social and cultural factors that contribute to interest and achievement in science across gender and racial/ethnic groups
 16

CHARGE ELEMENT 4: IMPROVEMENTS TO EVIDENCE BASE

"Define ways for NIH to improve the evidence base for effective precollege biomedical science programs."

Preliminary findings:

- There may be opportunities for NIH to partner with NSF and others to collect data that will be useful for biomedical workforce analysis
- NIH's Science Education Partnership Awards (SEPA) Program plans to introduce an evaluation component for new awards
- NIH-funded basic research could increase understanding of the learning process

Topics/perspectives for further study:

- Potential partnership with NSF Center for Science and Engineering Statistics (<u>http://www.nsf.gov/statistics/</u>) to collect biomedical-specific data
- Basic research findings regarding child development and learning

NEXT STEPS

July 7–8 SMRB stakeholder meeting

• Receive input from experts and stakeholders in pre-college engagement

Summer Working Group activities

- Briefings from experts and stakeholders (e.g., SEPA awardees, NIH and IC program staff, education evaluators)
- Develop and announce initial findings and recommendations; draft report

October 14 SMRB stakeholder meeting

- Fall Working Group activities
 - Refine report
- December 15 SMRB meeting/teleconference
 - Discussion of PEBS findings and recommendations

JULY 7 SMRB MEETING AGENDA

- Optimizing NIH Efforts to Engage Pre-college Students in Biomedical Science
 - James M. Anderson, M.D., Ph.D., Director, Division of Program Coordination, Planning, and Strategic Initiatives, National Institutes of Health

Panel I: Perspective of Science Teachers

- Steven Ahn, High School Science Teacher, Abingdon High School, Abingdon, Virginia
- Megan Fisk, High School Science Teacher, Eastern High School, St. Michaels, Washington, DC
- Lola Odukoya, Middle School Science Teacher, Langdon Education Campus, Washington, DC
- Panel II: Gender and Racial/Ethnic Disparities in Pre-college Engagement in Biomedical Science
 - Matthew Z. Anderson, Ph.D., Postdoctoral Researcher, Molecular Microbiology and Immunology Department, Brown University
 - **Catherine Riegle-Crumb**, Ph.D., Associate Professor, Department of Curriculum & Instruction, University of Texas at Austin
 - Allison Scott, Ph.D., Director of Research and Evaluation, Level Playing Field Institute
 19

JULY 7 SMRB MEETING AGENDA (CONT.)

- Panel III: Science Standards, Curriculum Development, and Teacher Training
 - **Talia Milgrom-Elcott**, J.D., Program Officer in Urban Education and Senior Manager of STEM Teacher Initiatives at Carnegie Corporation, and Co-Founder and Lead of 100Kin10
 - **Stephen L. Pruitt**, Ph.D., Senior Vice President, Content, Research & Development, Achieve, Inc.
 - **Brian J. Reiser**, Ph.D., Professor of Learning Sciences, School of Education and Social Policy, Northwestern University
- Panel IV: Science Outreach Programs Supported by Private and Nonprofit Institutions
 - **Terri M. Taylor**, Assistant Director for K-12 Education, Education Division, American Chemical Society
 - Jean Lim Terra, President, Amgen Foundation, Amgen, Inc.
 - **Claus von Zastrow**, Ph.D., Chief Operating Officer and Director of Research, Change the Equation

The Nuts and Bolts of the NIH Grants Process

Sally J. Rockey, PhD

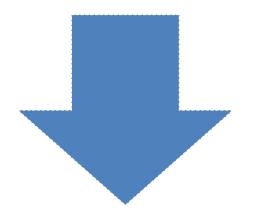
Deputy Director for Extramural Research

National Institutes of Health

Grants Process Overview

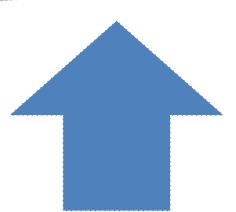
Planning, Writing, & Submitting	 Applicant often begins writing application several months prior to application due date Applicant organization submits most applications to NIH through the Federal portal, Grants.gov 			
Receipt & Referral (Months 1-3)	 Applications compliant with NIH policies are assigned for review by the Division of Receipt and Referral in the Center of Scientific Review CSR assigns application to an NIH Institute/Center (IC) and a Scientific Review Group (SRG) 			
Peer Review (Months 4-8)	 Initial level of review by SRG members for scientific merit Impact scores & summary statement available to Principal Investigator on eRA Commons Second level of review by advisory council/board 			
Award (Months 9-10)	 Pre-award process: IC grants management staff conducts final administrative review and negotiates award NIH IC director makes funding decision. IC staff issues and sends Notice of award to applicant institution/organization 			
Post-Award Management (ongoing)	 Conduct of research Administrative and fiscal monitoring, reporting, and compliance. 			

A delicate balance....



Reducing administrative burden

Increasing accountability via regulatory and policy requirements



Planning, Writing, & Submitting

The investigator, in collaboration with his/her institution:

- Develops a research idea
 - Should be important (have high impact)
 - Needs to align with an IC mission
- Identifies a funding opportunity
 - FOA may be specific to a research area or a "parent" announcement.
- Talks with NIH staff about the idea and where it fits
- Writes a strong proposal that addresses review criteria



Planning, Writing, & Submitting

Institution registration requirements:

- **Data Universal Numbering System (DUNS)**: an identifier that government vendors need to register their organization in the System for Award Management (SAM) so they can apply for a federal grant.
- **SAM**: consolidates Federal procurement systems and the Catalog of Federal Domestic Assistance. SAM registration is necessary to submit applications to Grants.gov.
- **Grants.gov**: a centralized location for grant seekers to find and apply for federal funding opportunities.
- **eRA Commons**: provides applicants, grantees and federal staff the tools necessary for electronic processing of grants.



- Investigators should work with their institution's office of sponsored research to be sure they are registered and their account is affiliated with their institution BEFORE they apply.
- 2 weeks lead time PI registration in eRA Commons
- 6-8 weeks All institutional registrations and renewals

Progress Modular grants ASSIST SciENcv

www.grants.gov

Fed-wide portal for finding grant opportunities

FOR APPLICANTS

- Find Grant Opportunities
- Get Registered
- Apply for Grants
- **Track Your Application**
- Applicant Resources
- Search FAQs, User Guides and Site Information

APPLICANT SYSTEM-TO-SYSTEM

FOR GRANTORS

ABOUT GRANTS.GOV

HELP

CONTACT US

SITE MAP

Find. Apply. Succeed.

Grants.gov is your source to FIND and APPLY for federal government grants. The U.S. Department of Health and Human Services is proud to be the managing partner for Grants.gov, an initiative that is having an unparalleled impact on the grant community. <u>Learn more</u> about Grants.gov and determine if you are eligible for grant opportunities offered on this site.

Grants.gov does not provide personal financial assistance. To learn where you may find personal help, check Government Benefits, Student Loans and Small Business Start-up Loans.

What's New This Week at Grants.gov

New Opportunities This Week
April 15, 2009: Grants.gov Stakeholder Webcast
Recovery Act Opportunities on Grants.gov
Notices and System Information (Login Issues, Error Messages, Adobe Reader)
Guidelines to Combat Grant Fraud 🖄
Verify if Your Adobe Reader Version is

enfy if Your Adobe Reader Version Compatible with Grants.gov Sign-up for our "Succeed" Quarterly Newsletter

Quick Links

Latest News! Grants.gov Blog

FOR APPLICANTS

- Grant Search
- Grant Email Alerts
- Get Registered
- Applicant Login
- E-Biz POC Login

FOR GRANTORS

- Grantor Login
- <u>New Agency Users</u>
- <u>Resources</u>

Funding Opportunities

- Advertised through
 - o Grants.gov
 - NIH Guide for Grants and Contracts

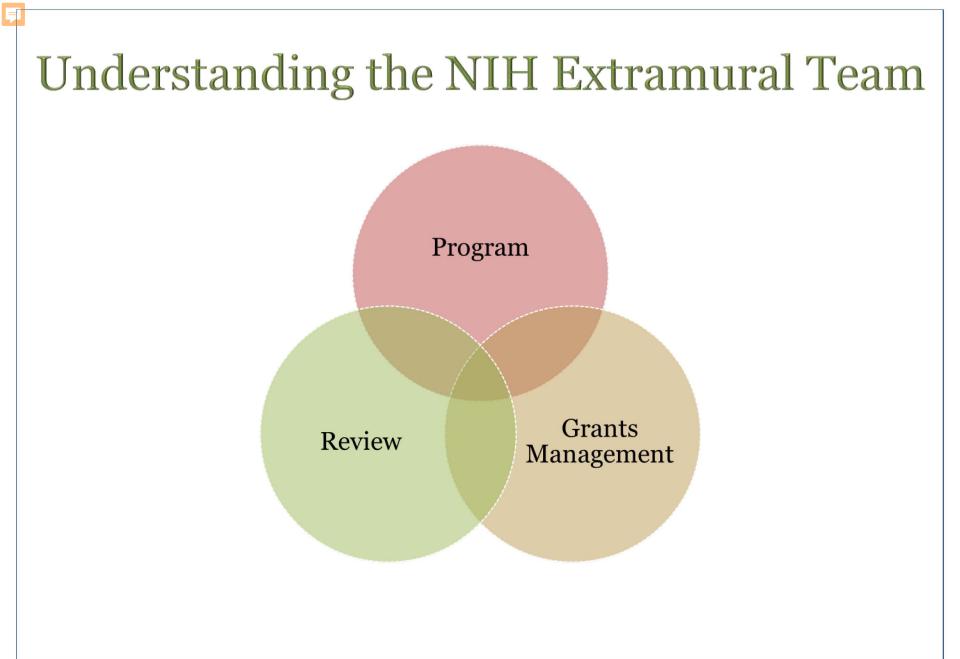
Issued by

- Each IC
- "Parent" announcements span the breadth of the NIH mission, include many ICs

Types of Funding Opportunity Announcements (FOA)

Type of FOA	Description				
Program Announcements (PA, PAR, PAS)	 Highlights areas of focus Usually ongoing (3 yrs) Often use standard receipt dates 				
Requests for Applications (RFA)	 Narrowly defined scope Usually single receipt date Set aside funds IC usually convenes review panel 				
Parent Announcements	 Type of program announcement Generally span the breadth of NIH mission By activity code (R01, R03, etc) For "investigator initiated" or "unsolicited" research ideas 				

Application Due Dates		3 standard receipt dates a year.				
Activity Codes	Program Descri	m Description		Cycle I Due Date	Cycle II Due Date	Cycle III Due Date
P Series	Program Project Grants and Center Grants		<u>PHS 398</u>	January 25	May 25	September 25
All - new, renewal, resubmission, revision	Standard receipt dates for each type of grant cycles. Transition to SF424 (R&R): <u>On Hold</u>					
R18/U18 R25 All - new, renewal, resubmission, revision	Research Demonstration Education Projects		<u>SF424 (R&R)</u>	January 25	May 25	September 25
T Series D Series All - new, renewal, resubmission, revision	<i>Institutional</i> National Research Service Awards Other Training Grants		<u>SF424 (R&R)</u>	January 25 May 25	September 25	
	NOTE: Applicants Institute or Cente series applications cycles. Applicants	Scroll further on r (IC for timelines for sho sho mation for each IC's sciencing sciences for sciences for				



Program Official

- Responsible for the programmatic, scientific, and/or technical aspects of a grant
- Provides scientific guidance to investigators pre- and post-award
- Develops initiatives
- Provides post-award oversight



Scientific Review Officer

- Responsible for scientific and technical review
 - Ensures fair and unbiased evaluation of scientific and technical merit
 - Provides a summary of the evaluation
 - Reviews applications for completeness and conformance with application requirements
- Point of contact for applicants during the review process



Grants Management Officer

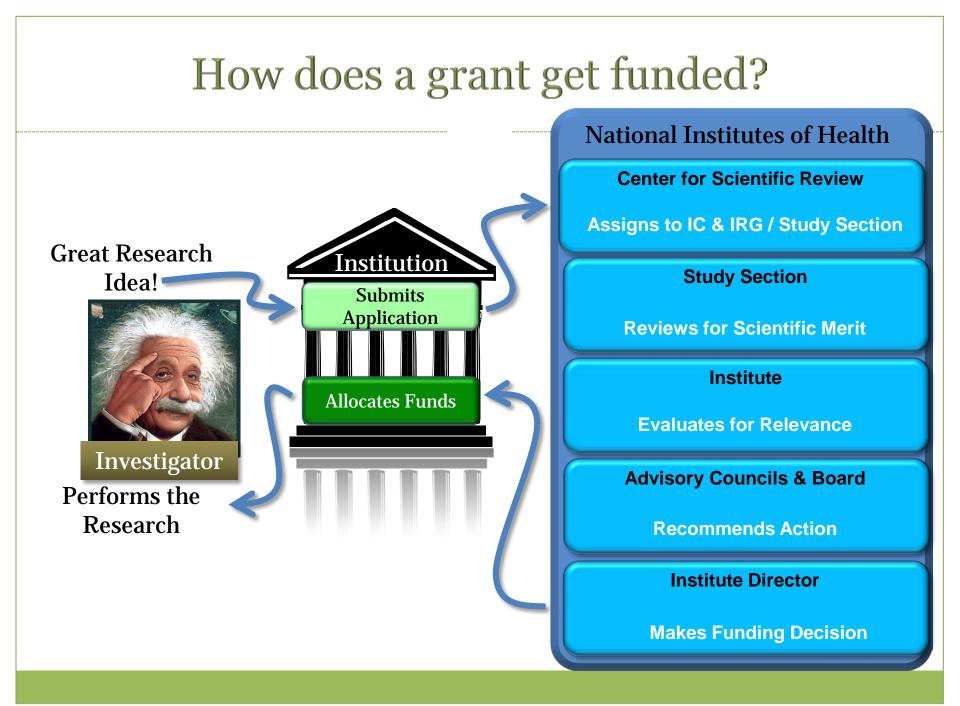
Responsible for completion of business management requirements

- Evaluates applications for administrative content and compliance with policy
- Negotiates Awards
- Interprets grants administration policies





How Long Does It Take to Get Funded?



Review dates and earliest start date by submission round

Review and Award Cycles

	Cycle I	Cycle II	Cycle III		
Scientific Merit Review	June - July	October - November	February - March		
Advisory Council Round	August or October *	January	May		
Earliest Project Start Date	September or December	April	July		

grants.nih.gov/grants/funding/submissionschedule

Award Process

- All pre-award issues must be resolved
 - Program and grants management review for scientific or budgetary overlap
 - Budget negotiation
 - Determination of Facilities and Administrative (F&A) Costs
 - Certification of education on human subjects
 - Animals & human subject protection issues
 - Other support documentation
- Application to award takes ~9-10 months

Progress Just-in-time Streamlined terms & conditions

What Can Delay the Award Process?

- Late submission of the progress report
- Inadequate description of progress
- Missing information for Key Personnel
- Out-of-date IRB/IACUC approvals
- Lack of population data for clinical trials
- Budgets with inadequate justification
- Other Support for an individual that exceeds 12 CM (100%)

Notice of Award (NoA)

- Legally binding document
 - Award data and fiscal information
 - Grant payment info
 - Terms and conditions of award
- Grantee accepts terms and conditions of award when drawing down funds from the Payment Management System

Accessing the Funds

- Generally centralized through the Payment Management System (<u>http://www.dpm.psc.gov/</u>)
- Applicant organizations are required to have financial systems in place to monitor their grant expenditures.
- The Grants Management Specialist reviews grantee cash expenditure reports to determine whether they indicate a pattern of accelerated or delayed expenditures.

Post Award Management

- Annual progress reporting
- Annual federal financial reporting
- Invention reporting
- Yearly audits (as applicable)
- Closeout reporting



Progress RPPR Easier effort reporting SNAP Automatic no cost extensions

Annual Progress Reports

- Progress reports are required at least annually as part of the non-competing continuation award process.
 - RPPR Required for: SNAP, Fellowship, Multi-Year Funded (ex. R15)
 - All others have the option of paper-submission utilizing the PHS 2590...for now
 - Anticipated to be required for all non-SNAP progress reports by October 2014
 - Further information:

http://grants.nih.gov/grants/rppr/

Financial Reporting

- Federal Financial Report (FFR): Annual FFR due 90 days after the end of calendar quarter in which the budget period end date falls
- Final FFRs due 90 days after the project period end date
- Annual and Final FFRs reporting expenditure data must be submitted via the eRA Commons
- Impact on future awards delinquent submission of the required FFR will most likely result in the holding of any future awards to support the particular project

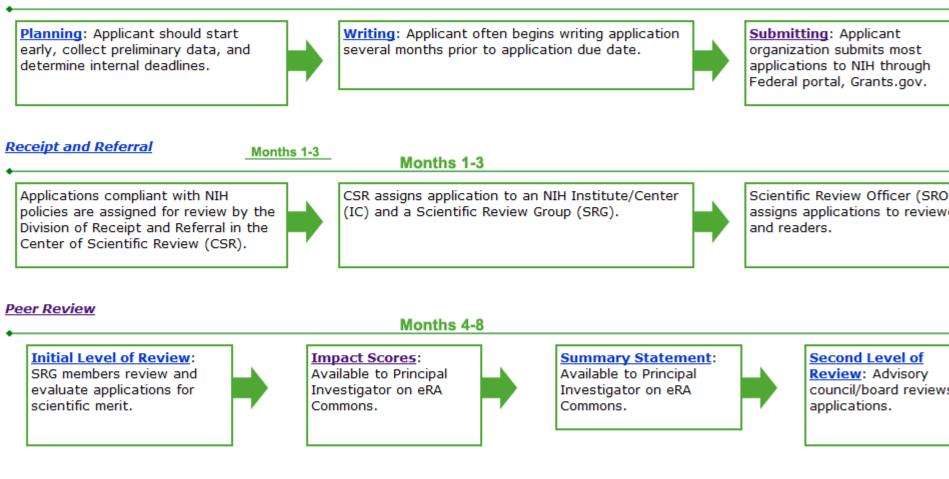


Grants Process At-A-Glance

Any successful project requires planning grants.nih.gov/grants/grants_process.htm

submission through award and close out. Look to the related resources on each page for special guidance from NIH experts that can help ma understanding of the grants process and help you submit a successful grant application.

Planning, Writing, Submitting



Award

Pre-Award Process: IC grants management staff conducts final administrative review and negotiates award.*

Notification of Award: NIH Institute/Center (IC) director makes funding decision. IC staff issues and sends Notice of Award (NoA) to applicant institution/organization.

Months 9-10



Congratulations! Project period officially begi

s no nnir

Questions?